ttorney's Docket No. 80398.P425

#131

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Maritzen, et al.

Application No.: 09/929,960

Filed:

August 15, 2004

For:

Method and Apparatus for Preventing an unauthorized

Transaction

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, Virginia 22313-1450

Examiner: Not yet assigned

Art Unit: 2131

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Technology Center 2100

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information

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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

<u>X</u>	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Juy 31, 2004

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute f	or Form 1	449A/PTO (Modified)	Со	mplete if Known				
INFO	RMA	TION DISCLOSURE	Application Number	09/929,960				
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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

## Stammary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments	
A1	Patent Application Publication	Pre-grant publication available March 2001	
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001	
А9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001	
B1	Patent	No previously published pre-grant publication	
В2	Patent	Having a previously published pre- grant publication and available March 2001	
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents RECE	VED
E	Reissue Patent	No change	2004
Н	Statutory Invention Registration (SIR)	No change Technology (	
P1	Plant Patent Application Publication	Pre-grant publication available March 2001	
P2	Plant Patent	No previously published pre-grant publication	
<b>P3</b>	Plant Patent	Having a previously published pre- grant publication and available March 2001	
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001	
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001	
S	Design Patent	No change	